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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|-------------------------------|-----------------------------------|----------------------|-------------------------|------------------|--|
| 10/501,930 | 03/17/2005 | Shou Takashima | P25687 | 2173 | |
| 7055 | 7590 04/24/2006 | | EXAMINER | | |
| GREENBLUM & BERNSTEIN, P.L.C. | | | RAGHU, GANAPATHIRAM | | |
| | DLAND CLARKE PLACE N, VA 20191 | | ART UNIT | PAPER NUMBER | |
| • | | | 1652 | | |
| | | | DATE MAILED: 04/24/2006 | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | Application No. | Applicant(s) | | | |
|---|---|--|--|--|--|--|
| | | 10/501,930 | TAKASHIMA ET AL. | | | |
| Office Action | on Summary | Examiner | Art Unit | | | |
| | | Ganapathirama Raghu | 1652 | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). | | | | | | |
| Status | | | | | | |
| · — · | 1) Responsive to communication(s) filed on <u>17 March 2005</u> . | | | | | |
| , | This action is FINAL. 2b) This action is non-final. | | | | | |
| , | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. | | | | | | |
| Disposition of Claims | | | | | | |
| 4a) Of the above 5) ☐ Claim(s) is 6) ☐ Claim(s) is 7) ☐ Claim(s) is | s/are rejected. | vn from consideration. | · | | | |
| Application Papers | | | | | | |
| 10) The drawing(s) fil Applicant may not Replacement draw | request that any objection to the ring sheet(s) including the correct | r. epted or b) objected to by the I drawing(s) be held in abeyance. Sec ion is required if the drawing(s) is ob taminer. Note the attached Office | e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d). | | | |
| Priority under 35 U.S.C. § | 119 | | | | | |
| 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) ☒ All b) ☐ Some * c) ☐ None of: 1. ☒ Certified copies of the priority documents have been received. 2. ☐ Certified copies of the priority documents have been received in Application No 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | | |
| Attachment(s) 1) Notice of References Cited | d (PTO-892) | 4) 🔲 Interview Summary | · (PTO-413) | | | |
| 2) Notice of Draftsperson's P | atent Drawing Review (PTO-948) tement(s) (PTO-1449 or PTO/SB/08) | Paper No(s)/Mail D | | | | |

DETAILED ACTION

Claims 1-30 are pending in this application.

Election/Restrictions

Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I: Claims 1-15, drawn to polypeptide with SEQ ID NO: 1 or SEQ ID NO: 3 with O-glycan α 2,8-sialyltransferase activity, encoded by the polynucleotide of SEQ ID NO: 2 or SEQ ID NO: 4, vectors, host cells and the method of making said polypeptide.

Group II: Claims 16-30, drawn to polypeptide with SEQ ID NO: 5 or SEQ ID NO: 7 with β -galactoside α 2,6-sialyltransferase activity, encoded by the polynucleotide of SEQ ID NO: 6 or SEQ ID NO: 8, vectors, host cells and the method of making said polypeptide.

The inventions listed as Groups I-II do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

An international or a national stage application containing claims to different categories of invention will be considered to have unity of invention if the claims are drawn only to one of the following categories:

- 1) A product and a process specially adapted for the manufacture of said product or
- 2) A product and process of use of said product; or
- 3) A product, a process specially adapted for the manufacture of said product and a use of said product; or
- 4) A process and an apparatus or means specifically adapted for carrying out the said process; or
- 5) A product, a process specially adapted for the manufacture of said product and an apparatus or means specifically designed for carrying out the said process.
- 37 CFR 1.475(c) states: If an application contains more or less than one of the combination of categories of in an invention set forth in paragraph (b) of this section, unity of invention might not be present.

In addition, the PCT does not provide for multiple products or methods within single application, therefore, unity of invention is lacking with regard to Group I-II; see 37 CFR 1.475. 37 CFR 1.475 (d) also states: If multiple products, processes of manufacture or uses are claimed, the first invention of the category first mentioned in the claims of the application and the first recited invention of each other categories related thereto will be considered as the main invention in the claims, see PCT Article 17(3)(a) 1.47(c).

claimed in separate claims or as alternative within a single claim.

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37 CFR 1.475(e) further states; the determination whether a group of invention is so linked as to form a single inventive concept shall be without regard to whether the inventions are

In the instant application the products of Groups I and II differ substantially from one another to the extent that they have a different structure and function. The polypeptides of Group I and II have different catalytic activities, substrate specificity and selectivity. The two products can be used exclusive of each other such that they do not share unity of invention under 37 CFR 1.475.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Election of Sequence

Group I and II contain claims directed to the following patentably distinct species of the claimed invention: the various sequences recited in the claims (polypeptide sequences with SEQ ID NO: 1, 3, 5 or 7 and polynucleotide sequences with SEQ ID NO: 2, 4, 6 or 8) have specific activities. Furthermore these sequences have different structure and function.

Applicant is required under 35 U.S.C. 121 and 372 to elect a single appropriate disclosed species i.e., a single SEQ ID NO: (a polynucleotide and its corresponding encoded polypeptide, vector, host cell and the method of making the polypeptide) associated with the respective group for prosecution on the merits to which the claims are restricted. Note that this is a restriction requirement to sequence and NOT a species election.

MPEP 803.04 states: Nucleotide sequences encoding different proteins are structurally distinct chemical compounds and are unrelated to one another. These sequences are thus deemed Art Unit: 1652

to normally constitute independent and distinct inventions with the meaning of 35 U.S.C. 121. Absent evidence to the contrary, each such nucleotide sequence is presumed to represent an independent and distinct invention, subject to a restriction requirement pursuant to 35 U.S.C. 121 and 37 CFR 1.141et seq. It has been determined that 1(ONE) sequence constitutes a reasonable number for examination purposes under the present conditions. At present the huge number of submissions of claims directed to various sequences, such as nucleic acids or polypeptides, is so large that the election of sequence of this type is now deemed to be practically appropriate so as to not overwhelm the examination and search processes for such claims. Examination will be restricted to only the elected group and the elected amino acid /nucleotide sequence.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ganapathirama Raghu whose telephone number is 571-272-4533. The examiner can normally be reached on 8 am - 5.00 pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ponnathapu Achutamurthy can be reached on 571-272-0928. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300 for regular communications and for After Final communications. Any inquiry of a general nature or relating to the status of the application or proceeding should be directed to the receptionist whose telephone number is 571-272-1600.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Application/Control Number: 10/501,930

Art Unit: 1652

Ganapathirama Raghu, Ph.D. Patent Examiner Art Unit 1652

April 05, 2006.

MANUMATH II. RAD, PH.D.

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